



PATENT
Attorney Docket No.: 410058
Express Mail Label No.: EV210655003US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Jennifer A. Gaul, et al.	Examiner:	Not Yet Assigned
Serial No.:	10/637,455	Group Art No.:	1761
Filed:	August 8, 2003	Confirmation No.	3437
For	Reduced Fat Absorption In Prepared Foods		

February 12, 2004

Box: MISSING PARTS
Commissioner For Patent
P.O. Box 1450
Alexandria, VA 22213-1450

**PETITION TO CORRECT NAME(S) OF INVENTOR(S) SUBMITTED
ON FILING (37 CFR 1.51(a)(1) and 1.41(a)(1))**

ORIGINAL SUBMISSION

1. The papers for this application were submitted on August 8, 2003.

At the time of original submission, the inventor(s) named was/were:

- a.) Jennifer A. Mitchum
- b.) Christopher T. Dohl
- c.) Gregory J. Stempien
- d.) Clodualdo C. Maningat
- e.) Sukh D. Bassi

CORRECT INVENTOR(S)

2. The actual inventor(s) for this application is/are:

- a.) Jennifer A. Gaul (Due to Marriage)
- b.) Christopher T. Dohl
- c.) Gregory J. Stempien
- d.) Clodualdo C. Maningat
- e.) Sukh D. Bassi

3. Applicant hereby petitions, in accordance with 37 CFR 1.41(a)(1), (a) for the correction of the inventorship for this application and (b) for the filing date of the original papers submitted on August 8, 2003 to be given this application.

FEES

4. The petition fee of \$130.00 (37 CFR 1.17(i)) is paid as follows:

- ☐ Enclosed is a check in the amount of \$_____.
- ☒ Charge Account No. 12-0600 in the amount of \$130.00.
- A duplicate of this request is attached.

Respectfully submitted,

LATHROP & GAGE LLC

A handwritten signature in black ink, appearing to read "Janelle D. Strode", is written over a horizontal line.

Janelle D. Strode, Reg. No. 34,738
2345 Grand Boulevard, Suite 2300
Kansas City, MO 64108
Tel No: 816/460-5859v
Fax No: 816/292-2001



**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐ Declaration Submitted With Initial Filing
OR
☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number 410058

First Named Inventor

Jennifer A. Michum Gaul JS

COMPLETE IF KNOWN

Application Number 10/637,455

Filing Date August 8, 2003

Art Unit 1761

Examiner Name Not Yet Assigned

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

REDUCED FAT ABSORPTION IN PREPARED FOODS

the specification of which (Title of the Invention)

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

08/08/2003

as United States Application Number or PCT International

Application Number

10/637,455

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY) Country	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 3]

This collection of information is required by 37 CFR 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

Direct all correspondence to:

☒ Customer Number
or Bar Code Label

30954

OR

☐ Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventorGiven Name Jennifer A.
(first and middle [if any])Family Name MITCHEM Gault Jr
or SurnameInventor's
Signature

Jennifer A. Gault

Date

01-12-04

Residence: City
12543 State Hwy 116 Jr
Platte City

State

MO KS Jr

Country

United States

Citizenship

United States

Mailing Address

Cummings Jr
2900 Williamsburg Terrace Plaza, Apt M302

City

Platte City

Cummings Jr

State

MO KS Jr

Zip

66016 Jr
64079

Country

United States

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventorGiven Name Christopher T.
(first and middle [if any])Family Name DOHL
or SurnameInventor's
Signature

Christopher T. Dohl

Date

01/12/04

Residence: City

Netawaka

State

KS

Country

United States

Citizenship

United States

Mailing Address

13205 302nd Road

City

Netawaka

State

KS

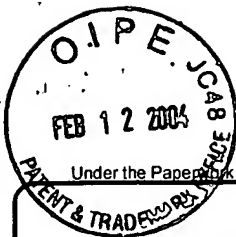
Zip

66516

Country

United States

☒ Additional inventors or a legal representative are being named on the 1 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.



DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet

Page 3 of 3

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))		Family Name or Surname					
Gregory J.		STEMPIEN					
Inventor's Signature <i>Gregory J. Stempier</i>		Date <i>1/12/04</i>					
Residence: City	Atchison	State	KS	Country	United States	Citizenship	United States
Mailing Address 313 Parallel Street							
Mailing Address							
City	Atchison	State	KS	ZIP	66002	Country	United States
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))		Family Name or Surname					
Clodualdo C.		MANINGAT					
Inventor's Signature <i>Clodualdo C. Maningat</i>		Date <i>1/12/04</i>					
Residence: City	Platte City	State	MO	Country	United States	Citizenship	United States
Mailing Address 15995 Country Lane West							
Mailing Address							
City	Platte City	State	MO	Zip	64079	Country	United States
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))		Family Name or Surname					
Sukh D.		BASSI					
Inventor's Signature <i>Sukh D. Bassi</i>		Date <i>12/16/03</i>					
Residence: City	Atchison	State	KS	Country	United States	Citizenship	United States
Mailing Address 1502 Hillcrest Court							
Mailing Address							
City	Atchison	State	KS	Zip	66002	Country	United States

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

**POWER OF ATTORNEY
and
CORRESPONDENCE ADDRESS
INDICATION FORM**

Application Number	10/637,455
Filing Date	August 8, 2003
First Named Inventor	Jennifer A. Mitchum <i>Gaul JS</i>
Art Unit	1761
Examiner Name	Not Yet Assigned
Attorney Docket Number	410058

I hereby appoint:

☒ Practitioners at Customer Number

30954

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

☐ Firm or
Individual Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name Sukh Bassi, Ph.D.

Signature *Sukh Bassi*

Date 1/12/04

Telephone

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Jennifer A. Mitchum, Christopher T. Dohl, Gregory J. Stempien, Clodualdo C. Maningat & Sukh D. Bassi

Application No./Patent No.: 10/637,455 Filed/Issue Date: August 8, 2003

Entitled: Reduced Fat Absorption In Prepared Foods

MGP Ingredients, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title, and interest

The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

12/16/03
Date

913-360-5246
Telephone Number

Sukh Bassi, Ph.D.

Typed or printed name

Sukh Bassi

Signature

Vice President, R&D

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ASSIGNMENT

WHEREAS, We, Jennifer A. Gaul of 2900 Williams Burg Terrace Pl, Apt. M302, Platte City, Missouri 64079; Christopher T. Dohl of 13205 302nd Road, Netawaka, Kansas 66516; Gregory J. Stempien of 313 Parallel Street, Atchison, Kansas 66002; Clodualdo C. Maningat of 15995 Country Lane West, Platte City, Missouri 64079 and Sukh D. Bassi of 1502 Hillcrest Court, Atchison, Kansas 66002 state we have invented certain new and useful improvements in a

REDUCED FAT ABSORPTION IN PREPARED FOODS

for which we are about to make or have made application for Letters Patent of the United States; and

WHEREAS, MGP Ingredients, Inc, a corporation and having its principal place of business at 1300 Main Street, Atchison, Kansas 66002-0130, is desirous of acquiring an interest in, to and under said invention, said application, and any and all Letters Patent which may be granted for or upon said invention in the United States of America and all countries foreign thereto.

NOW THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, we, Jennifer A. Mitchum, Christopher T. Dohl, Gregory J. Stempien, Clodualdo C. Maningat and Sukh D. Bassi, have sold, assigned and transferred, and by these presents to sell, assign and transfer unto the said MGP Ingredients, Inc., the full and exclusive right, title and interest, throughout the world, in, to and under the following:

- (a) said invention as fully set forth and described in the specification prepared, and executed by us preparatory to obtaining Letters Patent of the United States therefor;
- (b) said application;
- (c) any and all refilings, divisions, continuations and continuations-in-part of said application;
- (d) any and all Letters Patent of the United States of America which may issue from said application, refilings, divisions, continuations and continuations-in-part;
- (e) any and all reissues and reexaminations of said Letters Patent of the United States of America;
- (f) any and all applications for Letters Patent upon said invention which may hereafter be filed in any and all countries foreign to the United States of America;
- (g) any and all refilings, divisions and continuations of said foreign-filed applications;
- (h) any and all Letters Patent of countries foreign to the United States of America which may issue from the said foreign-filed applications, refilings, divisions and continuations; and
- (i) any and all extensions of, and additions to, said Letters Patent of countries foreign to the United States of America.

ALL of the above shall be held and enjoyed by said MGP Ingredients, Inc., for its own use and benefit, and for its successors, legal representatives and assigns, to the full end of the term for which said Letters Patent may be granted, and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent in accordance with this Assignment.

Jennifer A. Gault

Jennifer A. Mitchum

Gault JS

STATE OF KANSAS
COUNTY OF Atchison

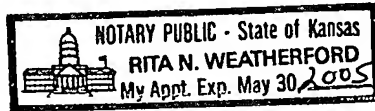
SS:

On this 12 day of January, 2004, before me personally appeared Jennifer A. Mitchum to me known to be the person described in and who executed the foregoing instrument as his free act and deed.

In witness whereof, I have hereunto set my hand and affixed my notarial seal the day and year last above written.

Rita N Weatherford
Notary Public

My commission expires:
(SEAL)



Signed in my presence and acknowledged to be an assignment of the application herein above referred to:

WITNESS

Atchison, Kansas
Signed At (City/State)

Patti Scholz
Name

Patti Scholz
Signature

1-12-04
Date

WITNESS

Atchison, KS
Signed At (City/State)

Selma Key
Name

Selma Key
Signature

1-12-04
Date

Christopher T. Dohl
Christopher T. Dohl

STATE OF Kansas
COUNTY OF Atchison

SS:

On this 12 day of January, 2004, before me personally appeared Christopher T. Dohl to me known to be the person described in and who executed the foregoing instrument as his free act and deed.

In witness whereof, I have hereunto set my hand and affixed my notarial seal the day and year last above written.

Rita N. Weatherford
Notary Public

My commission expires:
(SEAL)



Signed in my presence and acknowledged to be an assignment of the application herein above referred to:

WITNESS

Atchison, KS
Signed At (City/State)

Patti Scholz
Name

Patti Scholz
Signature

1-12-04
Date

WITNESS

Atchison, KS
Signed At (City/State)

Selma Key
Name

Selma Key
Signature

1-12-04
Date

Gregory J. Stempien
Gregory J. Stempien

STATE OF Kansas
COUNTY OF Atchison

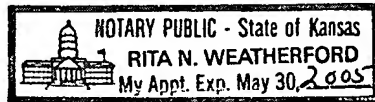
SS:

On this 12 day of January, 200⁴3, before me personally appeared Gregory J. Stempien to me known to be the person described in and who executed the foregoing instrument as his free act and deed.

In witness whereof, I have hereunto set my hand and affixed my notarial seal the day and year last above written.

Rita N Weatherford
Notary Public

My commission expires:
(SEAL)



Signed in my presence and acknowledged to be an assignment of the application herein above referred to:

WITNESS

Atchison, KS
Signed At (City/State)

Patti Scholz
Name

Patti Scholz
Signature

1-12-04
Date

WITNESS

Atchison, KS
Signed At (City/State)

Selma Key
Name

Selma Key
Signature

1-12-04
Date

Clodualdo C. Maningat
Clodualdo C. Maningat

STATE OF KANSAS
COUNTY OF Atchison

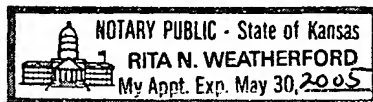
SS:

On this 12 day of January, 200⁴3, before me personally appeared Clodualdo C. Maningat to me known to be the person described in and who executed the foregoing instrument as his free act and deed.

In witness whereof, I have hereunto set my hand and affixed my notarial seal the day and year last above written.

Rita N Weatherford
Notary Public

My commission expires:
(SEAL)



Signed in my presence and acknowledged to be an assignment of the application herein above referred to:

WITNESS

Atchison, KS
Signed At (City/State)

Patricia Scholz
Name

Patricia Scholz
Signature

1-12-04
Date

WITNESS

Atchison, KS
Signed At (City/State)

Selma Key
Name

Selma Key
Signature

1-12-04
Date

Sukh D. Bassi
Sukh D. Bassi

STATE OF Kansas
COUNTY OF Atchison

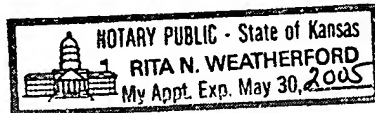
SS:

On this 12 day of January, 2004, before me personally appeared Sukh D. Bassi to me known to be the person described in and who executed the foregoing instrument as his free act and deed.

In witness whereof, I have hereunto set my hand and affixed my notarial seal the day and year last above written.

Rita N Weatherford
Notary Public

My commission expires:
(SEAL)



Signed in my presence and acknowledged to be an assignment of the application herein above referred to:

WITNESS

Atchison, KS
Signed At (City/State)
Patti Scholz
Name
Patti Scholz
Signature
1-12-04
Date

WITNESS

Atchison, KS
Signed At (City/State)
Selma Key
Name
Selma Key
Signature
1-12-04
Date